**Fund Accountants, Tax Accountants and Auditors**

**Financial Services Industry and Trading Industry**

**Referrals From Nancy Fallon-Houle**

**12/30/13**

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| **Funds and Fund Managers Accounting, Tax, Auditing, Fund Administration** | | |
| Michael J. Liccar & Company, LLC  ***Mike Atwater, President***  ***Also: Tony Pyletsky, Paul McFarland, Mike Liccar***.  231 South LaSalle Street, Suite 650  Chicago, IL 60604  Main: (312) 922-6600  [www.liccar.com](http://www.liccar.com)  Michael Atwater, Partner, President  Mike Atwater ([matwater@liccar.com](mailto:matwater@liccar.com))  (312) 922-8877  Don Pitsereo  Mike Liccar, Founding Partner  (312) 922-6601  [MLiccar@liccar.com](mailto:MLiccar@liccar.com) | Accounting Firm and Fund Administration Firm for clients in the financial services industry. Provides Tax Returns, Tax Advice, Auditing, Accounting, Compilations, Track Records, Fund Administration, Offshore Accounting, and Consulting for: Fund Managers, Hedge Funds, Futures Funds; Broker-Dealers, JBOs, FCMs, IBs, Registered and Exempt Investment Advisers, Offshore Entities; Some Real Estate Funds. Proprietary system for fund accounting; Outsource Software for “marking to market” process in financial services account: “Advent” on securities side and “Eclipse” on futures side; Can do Re-pricing portfolio (but not out-trades). | 20 Accountants, plus staff |
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| ***Phil Ryan***  *Ryan & Juraska*  Philip Ryan, partner  [phil@ryanjuraska.com](mailto:phil@ryanjuraska.com)  Ryan & Juraska  312-922-0062  141 W. Jackson, #2250  Chicago, IL 60604  [www.ryanjuraska.com](http://www.ryanjuraska.com)  *And Sherif Halawa*  *<Sherif@ryanjuraska.com>* | Highly specialized Accounting firm for clients in the financial services industry only, and in the securities and derivatives sub-industries, national and international. Member of PCAOB, thus can audit securities offering entities. Provides Tax, Auditing, Track Records and Consulting to: Funds, fund managers, exchanges, securities broker-dealers, futures commission merchants (clearing firms), proprietary trading firms, traders, market making firms, specialist firms, IBs, hedge funds, futures funds, commodity pools, fund-of-funds, equity trading funds, derivative trading funds of all types, and their 401(k) plans. AML or Money Laundering reviews under US Patriot Act for BDs and FCMs | 16 accountants, plus staff |
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| ***Lynne Tracer Weil***  Partner, Financial Services Industry  RMS McGladrey  Formerly: American Express Tax & Business Services;  Formerly Altschuler Melvoin Glasser  Formerly: Checkers, Simon Rosner  1 South Wacker Drive; Suite 800  Chicago, IL, 60606  (312) 634-4568  [lynne.weil@mcgladrey.com](mailto:lynne.weil@mcgladrey.com)  ***Mark Gaines,*** Partner  **Simon Lesser**, Partner  Noah Ginsberg | Hedge & Futures Fund Auditing and Tax, Fund Accounting.  Not For Profit Accounting and Tax. Full Service Large Accounting Firm.  Start Ups and Small Business through Noah Ginsberg, but note that this is a large accounting firm with higher rates. | Large firm, but has a small Fund Unit of 100 accountants in Chicago, very well regarded.  Several thousand employees in the parent company |
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| ***Maurice Berkower***  Acquavella Chiarelli Shuster, Berkower & Co., LLP And  **ACSB Sandler, LLP**  517 Route 1 - Suite 1002  Iselin, NJ 08830  732-855-9600 Ext 23  [Mb@Acsbco.Com](mailto:mb@acsbco.com)  [Maurice@Acsaccounting.Com](mailto:maurice@acsaccounting.com) | Provides professional audit, tax, accounting and consulting services to approximately 150 investment partnerships, funds, offshore funds, securities broker-dealers, and registered investment advisors throughout the U.S. and in Cayman Islands. Offices in Needham, MA, New York City, Iselin, New Jersey, and Cayman Islands. | 30 |
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| **Fund Accounting, Fund Administration** | | |
| ***Nav Gupta***  Prem Jacob x 137  Nav Gupta (founding owner)  Nancy Beebe  Anna (Ania) Dedio (in house counsel)  NAV Consulting Inc.  2625 Butterfield Rd  Suite 208 W  Oak Brook, IL 60523  Phone: (630) 954-1919  Fax: (630) 954-1945  [main@navconsulting.net](mailto:main@navconsulting.net)  [prem.jacob@navconsulting.net](mailto:prem.jacobs@navconsulting.net) | Well-known throughout the US for fund administration. Niche in providing services to start-up and early stage funds, as well as long-time funds, including futures funds.  Hedge Funds and Futures Fund Accounting, Fund Administration, NAV calculations, K-1s, web reporting, fund registrar, transfer agent. Capital Account Allocations. Former Turtles as clients. No auditing. | 150+  Offices Oak Brook (20), India, and Cayman Island |
| Michael J. Liccar & Company, LLC  ***Mike Atwater, President***  ***Also: Tony Pyletsky, Paul McFarland, Mike Liccar***.  231 South LaSalle Street, Suite 650  Chicago, IL 60604  Main: (312) 922-6600  [www.liccar.com](http://www.liccar.com)  Michael Atwater, Partner, President  Mike Atwater ([matwater@liccar.com](mailto:matwater@liccar.com))  (312) 922-8877  Don Pitsereo  Mike Liccar, Founding Partner  (312) 922-6601  [MLiccar@liccar.com](mailto:MLiccar@liccar.com) | Accounting Firm and Fund Administration Firm for clients in the financial services industry. Provides Tax Returns, Tax Advice, Auditing, Accounting, Compilations, Track Records, Fund Administration, Offshore Accounting, and Consulting for: Fund Managers, Hedge Funds, Futures Funds; Broker-Dealers, JBOs, FCMs, IBs, Registered and Exempt Investment Advisers, Offshore Entities; Some Real Estate Funds. Proprietary system for fund accounting; Outsource Software for “marking to market” process in financial services account: “Advent” on securities side and “Eclipse” on futures side; Can do Re-pricing portfolio (but not out-trades). | 20 Accountants, plus staff |
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| **Trader’s Tax Accountant / Tax Lawyer / Financial Adviser:** | | |
| ***Eddie Chez (CPA and JD)***  Schultz & Chez  141 West Jackson Boulevard  Suite 2900  **Chicago**, Illinois 60604  (312) 583-7035  [eddiechez@schultzchez.com](mailto:eddiechez@schultzchez.com)  [www.schultzchez.com](http://www.schultzchez.com) | Tax & financial adviser for Traders; Professionals (Doctors, lawyers, architects); Construction, investments in buildings and shopping centers; Individuals investing;  Portfolio management for high net worth individuals; Family office and fund accounting; Audits of funds | 14 accountants and staff  (8 CPAs) |
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| Michael J. Liccar & Company  Mike Atwater, President  312-922-8877  [MLiccar@liccar.com](mailto:MLiccar@liccar.com)  [www.liccar.com](http://www.liccar.com)  See above | Traders’ accountants.  See above | 20 Accountants |
| ***Phil Ryan***  *Ryan & Juraska*  Philip Ryan, partner  [phil@ryanjuraska.com](mailto:phil@ryanjuraska.com)  Ryan & Juraska  312-922-0062  141 W. Jackson, #2250  Chicago, IL 60604  [www.ryanjuraska.com](http://www.ryanjuraska.com)  See Above | Trader’s accountants | 16 Accountants |